



# Audit and Risk Committee

## 14 March 2016

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|--|---|--|
| <b>Report title</b>                        | Review of Fraud Related Policies and Procedures |  |
| <b>Accountable director</b>                | Mark Taylor, Finance                            |  |
| <b>Originating service</b>                 | Audit   |  |
| <b>Accountable employee(s)</b>             | Peter Farrow<br>Tel<br>Email                    | Head of Audit<br>01902 554460<br>peter.farrow@wolverhampton.gov.uk |
| <b>Report to be/has been considered by</b> | Not applicable                                  |  |

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### Recommendation(s) for action or decision:

The Committee is recommended to:

1. Review and approve the following updated Council fraud related policies and procedures:
  - Anti-fraud and corruption policy and procedure
  - Whistleblowing policy and procedure
  - Anti-money laundering policy and procedure
  - Raising fraud awareness guide

## **1.0 Purpose**

- 1.1 This report updates the Committee on the recent review of the Council's fraud related policies and procedures.

## **2.0 Background**

- 2.1 The fraud related policies and procedures were last updated, reviewed and approved by the Audit Committee in December 2014. The Anti-money laundering policy and procedure has now been updated in order to reflect the latest guidance provided by CIPFA (The Chartered Institute of Public Finance and Accountancy) in their "Combatting Financial Crime – Further Guidance on Anti-money Laundering for Public Service Organisations". Otherwise, there have been no significant changes since they were last reviewed.

The documents are as follows:

- Appendix A – Whistleblowing policy and procedure
- Appendix B – Anti-fraud and corruption policy and procedure
- Appendix C – Anti-money laundering policy and procedure
- Appendix D – Raising fraud awareness guide

## **3.0 Progress, options, discussion, etc.**

- 3.1 These policies and procedures will be reviewed on an annual basis by the Committee.

## **4.0 Financial implications**

- 4.1 There are no financial implications arising from the recommendations in this report.  
[MK/01032016/L]

## **5.0 Legal implications**

- 5.1 There are no legal implications arising from the recommendations in this report.  
[TS/04032016/F]

## **6.0 Equalities implications**

- 6.1 There are no equalities implications arising from the recommendations in this report.

## **7.0 Environmental implications**

- 7.1 There are no environmental implications arising from the recommendations in this report.

## **8.0 Human resources implications**

- 8.1 There are no human resources implications arising from the recommendations in this report.

## **9.0 Corporate landlord implications**

9.1 There are no corporate landlord implications arising from the recommendations in this report

## **10.0 Schedule of background papers**

- Appendix A – Whistleblowing policy and procedure
- Appendix B – Anti-fraud and corruption policy and procedure
- Appendix C – Anti-money laundering policy and procedure
- Appendix D – Raising fraud awareness guide